

02-15-02

PATENT

## UTILITY PATENT APPLICATION TRANSMITTAL

(only for new non-provisional applications under 37 CFR §1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231  
Sir:

Transmitted herewith for filing is the patent application  
under 37 CFR 1.53(b) of:

AVENTIS DOCKET NO.: FI5026 US PCT  
INVENTORS: Begon, et al.  
TITLE: PROCESS FOR PRODUCING FINE  
MEDICINAL SUBSTANCES

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
Express Mail in an envelope addressed to  
Commissioner for Patents, Washington, D.C. 20231,  
on

February 13, 2002  
Date of Deposit

Signature *James McJannet*

EL 916210871 US  
Express Mail No.

## APPLICATION ELEMENTS

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

Total Pages 13

3. ☒ Drawing(s) (35 U.S.C. 113)

Total Sheets 1

4. Oath or Declaration

Total Pages 2

- a. ☒ Newly unexecuted (original or copy)

- b. ☐ Copy from a prior application (37 CFR § 1.63(d))  
(for continuation/divisional with Box 16 completed)

- i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior  
application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

02/13/02  
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☒ If a **CONTINUING** Application, check appropriate box, and supply the requisite information:

☒ Continuation    ☐ Divisional    ☐ Continuation-in-part  
of prior application no: PCT/GB00/03178, filed August 18, 2000.

Examiner \_\_\_\_\_, Group/Art Unit \_\_\_\_\_.

(The cross reference has been/will be inserted on page one of the specification).

☒ This application claims the benefit of Great Britain Application No. 9919693.3,  
filed August 19, 1999. (The cross reference has been/will be inserted on page one of the specification).

☐ **Incorporation By Reference** (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

#### ACCOMPANYING APPLICATION PARTS

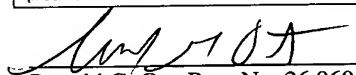
7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \*Small Entity Statement(s) ☐ Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Print EFS

#### CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

005487

(Insert Customer No. or Attach bar code label here)

  
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Aventis Docket No. FI5026 US PCT

# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

### Complete if Known

Application Number	Not Yet Assigned
Filing Date	February 13, 2002
First Named Inventor	Begon
Examiner Name	
Group / Art Unit	
Attorney Docket No.	FI5026 US PCT

TOTAL AMOUNT OF PAYMENT (\$740.00)

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit  
Account  
Number  
Deposit  
Account  
Name

18-1982

Aventis Pharmaceuticals Inc.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	740	201	370	Utility filing fee	740	
	106	330	206	165	Design filing Fee		
	107	510	207	255	Plant filing fee		
	108	740	208	370	Reissue filing fee		
	114	160	214	80	Provisional filing fee		

SUBTOTAL (1) (\$740.00)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
Total	18	-20**	0	x	0	=	0	
Independent	1	-3**	0	x	0	=	0	
Claims								
Multiple Dependent			0	=	0			

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	103	18	203	9	Claims in excess of 20		
	102	84	202	42	Independent claims in excess of 3		
	104	280	204	140	Multiple dependent claim, if not paid		
	109	84	209	42	**Reissue independent claims over original patent		
	110	18	210	9	**Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$0.00)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

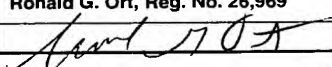
Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	105	130	205	65	Surcharge - late filing fee or oath		
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
	139	130	139	130	Non-English Specification		
	147	2,520	147	2,520	For filing a request for reexamination		
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	115	110	215	55	Extension for reply within first month		
	116	400	216	200	Extension for reply within second month		
	117	920	217	460	Extension for reply within third month		
	118	1,440	218	720	Extension for reply within fourth month		
	128	1,960	228	980	Extension for reply within fifth month		
	119	320	219	160	Notice of Appeal		
	120	320	220	160	Filing a brief in support of an appeal		
	121	280	221	140	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
	140	110	240	55	Petition to revive - unavoidable		
	141	1,280	241	640	Petition to revive - unintentional		
	142	1,280	242	640	Utility issue fee (or reissue)		
	143	460	243	230	Design issue fee		
	144	620	244	310	Plant issue fee		
	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Petitions related to provisional applications		
	126	180	126	180	Submission of Information Disclosure Stmt		
	581	40	581	40	Recording each patent assignment per property (times number of properties)		
	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
	179	740	279	370	Request for Continued Examination (RCE)		
	169	900	169	900	Request for expedited examination of a design application		

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

### SUBMITTED BY

Name (Print/Type)	Ronald G. Ort, Reg. No. 26,969	Registration No. (Attorney/Agent)	26,969	Telephone	(908) 231-4656
Signature		Date	February 13, 2002		

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Aventis Pharmaceuticals Inc. template